



FSC® General Assembly 2014
Seville, Spain, 7-14 September



Report on Results of Motions voted on at the 2014 General Assembly

FSC Motions Committee

Forest Stewardship Council®

15 October 2014 - V 1-0

FSC® F000100



FSC General Assembly September 7-14th 2014
Summary of Motion Results
15 October 2014

Part 1: Overview

Explanation of voting: There were two full days of debating and voting on motions (September 11th and 12th). Motions were prioritized for voting by all members present and these were combined by chamber to provide a priority list for each chamber.

They were then debated and voted on in rotation, taking the top remaining priority from each chamber in turn. Any that had not been voted on by the close of business of the General Assembly are considered lost and no further action will be taken. Where a very clear outcome was indicated by the discussion a vote by show of cards was taken but wherever that did not provide a clear result (or when requested by one or more members) an electronic ballot was held with signed paper votes which were scanned and electronically counted and weighted by chamber.

To pass motions need an overall two thirds majority in favour **and** a simple majority (50%+1) in each chamber in favour. A motion which had a two thirds majority overall still failed if any one chamber's support fell below 50% +1. (FSC Statutes 2013, 23rd Clause).

In this report the Motions are shown without names of the proposers and seconders because, once voted on they represent decisions made by the General Assembly as a whole.

Overview of Process and Results

Total motions submitted by deadline: 96

Total motions withdrawn: 57 (7 before the GA and 50 at the GA)

Total motions amended at the GA: 22

Total motions voted on: 32

Total motions voted by show of cards: 16

Total motions voted by electronic ballot: 16

Total motions passed: 19

(Statutory 1, 7, 96; Policy 11, 12, 27, 29, 36, 42, 45, 52, 58, 65, 66, 68, 71, 83, 84, 88)

Total motions rejected: 13

(Statutory 74, 95; Policy 3, 14, 16, 30, 31, 35, 46, 47, 70, 72, 77)

Total not voted on due to lack of time or other reasons: 7

(Statutory 10; Policy: 21, 26, 51, 53, 57, 60)

Table 1. Passed Motions with weighted results for paper ballots

No.	Title	Voted by	Overall	Overall	Econ.	Econ.	Environ.	Environ.	Social	Social
			Yes	No	Yes	No	Yes	No	Yes	No
Stat 1 Amended	Motion to reaffirm the high standing of decision and motions approved by the GA and resolutions.	Paper ballot	79.62	20.38	60.75	39.25	89.55	10.45	88.56	11.44
Stat 7 Amended	Changes to the Principles and Criteria (P&C).	Paper ballot	79.62	20.38	76.23	23.77	90.06	9.94	72.58	27.42
Stat 96	Reaffirming respect for the civil rights of indigenous peoples, traditional peoples and local communities in the purpose of the Organization.	Cards								
Policy 11 Amended	Review of the FSC Certification System to adapt FC to small forest holders throughout the world	Cards								
Policy 12 Amended	Fast tracking the implementation of motion 18 from GA 2011	Cards								
Policy 27 Amended	Expert review of the stakeholder engagement processes for certificate holders and production of clear guidance for certificate holders and stakeholders	Cards								
Policy 29 Amended	The Logo Motion	Cards								
Policy 36	FSC CoC: Addressing Market Confusion and Deceptive Practices	Paper ballot	81.23	18.77	71.35	28.65	72.93	27.07	99.42	0.58
Policy 42 Amended	FSC Governance review	Cards								
Policy 45 Amended	Motion to improve the transparency of the FSC system	Cards								
Policy 52	Training and Qualification Requirements for FSC Audit Teams	Cards								
Policy 58	Evaluation and reorientation of certification of non-timber forest products (NTPF).	Cards								
Policy 65 Amended	HCV2 – Intact forest landscapes (IFL) protection	Paper ballot	90.64	9.36	72.83	27.17	99.44	0.56	99.64	0.36
Policy 66 Amended	Auditor Rotation	Paper ballot	87.05	12.95	64.25	35.75	97.61	2.39	99.29	0.71
Policy 68	Improvement of transparency in the FSC process to	Paper	72.58	27.42	77.09	22.91	56.09	43.91	84.57	15.43

Amended	create Working Groups and Expert Panels.	ballot								
Policy 71 Amended	FSC Financial Transparency and Reporting	Cards								
Policy 83 Amended	Development of a Forest Certification Standard adapted to the realities of Indigenous Peoples and Traditional Forest Communities	Cards								
Policy 84 Amended	Development of an FSC Global Strategy	Cards								
Policy 88	Market strategies for small producers	Paper ballot	70.84	29.16	62.02	37.98	61.94	38.06	88.57	11.43

Table 2. Motions not voted on due to lack of time or other reasons as shown

No.	Title
Statutory 10	Addition of French as the third official language of FSC (NB Proposer not present and no proxy)
Policy 21	Consistent interpretation of IGIs
Policy 26	Recognition of the need for IGIs in 2 distinct areas
Policy 51 Amended	Exclusion of facilities from pesticide policy for occupational health reasons
Policy 53	Increasing take-up of forest management group schemes by reducing audit costs, whilst still maintaining rigour
Policy 57 Amended	Develop short term and long term strategies for Controlled Wood
Policy 60	Cost Control for Certificate Holders

Table 3. Rejected Motions with weighted results for ballots

No.	Title	Voted by	Overall Yes	Overall No	Econ. Yes	Econ. No	Environ. Yes	Environ. No	Social Yes	Social No
Stat 74	Limitation on Motions to the GA	Cards								
Stat 95	Reaffirming the functions of the General Assembly to be informed of financial plans	Paper ballot	53.05	46.95	49.29	50.71	40.04	59.96	69.83	30.17
Policy 3	Indigenous Peoples, Non-recognized Tribes, Forest Dependent Peoples, and Local Community Definition(s) in FSC normative documents and processes	Paper ballot	67.91	32.09	34.44	65.56	73.12	26.88	96.17	3.83
Policy 14 Amended	Improving the quality and credibility of forest management certification processes	Cards								
Policy 16	Supporting Responsible Urban Forestry by Recognizing Salvaged Urban Wood as Reclaimed and Creation of FSC Urban Forestry Standards	Paper ballot	54.57	45.43	45.10	54.90	45.29	54.71	73.32	26.68
Policy 30 Amended	Impacts assessments of local social development, environmental conservation and economic viability in FSC certified FMUs	Paper ballot	69.60	30.40	41.82	58.18	90.69	9.31	76.30	23.70
Policy 31 Amended	Maintain or increase the quality of the forest management operations through time, between audits	Cards								
Policy 35 Amended	Interpretation of the ILO conventions even in countries that have not ratified them	Paper ballot	60.62	39.38	25.82	74.18	75.49	24.51	80.55	19.45
Policy 46 Amended	Best Management Practices for Standards Development	Paper ballot	44.96	53.04	66.05	33.95	62.66	37.34	12.18	87.82
Policy 47 Amended	Wood Legality: Acceptance of wood with “PEFC certified” or “PEFC Controlled Sources claim” as proof of low risk in wood legality in FSC.	Cards								
Policy 70 Amended	Align implementation of the credit system with intended use.	Paper ballot	66.83	31.17	27.56	72.44	90.37	9.63	88.55	11.45
Policy 72 Amended	Strengthening social aspects in FSC	Paper ballot	77.75	22.25	45.01	54.99	96.05	3.95	92.17	7.83
Policy 77 Amended	Motion to ensure high standards of animal management within FSC Policy	Paper ballot	74.84	25.16	46.46	53.54	93.60	6.40	84.85	15.55

Part 2: Final Texts of Motions Passed

Total motions passed: 19

Statutory 1, 7, 96

Policy 11, 12, 27, 29, 36, 42, 45, 52, 58, 65, 66, 68, 71 83, 84, 88

2.1 Statutory Motions (1, 7, 96)

AMENDED: 10 September 2014	
Title: Motion to reaffirm the high standing of decision and motions approved by the GA and resolutions PASSED	Statutory Motion Number: 1
<p>Statutory Motion (change to the Statutes):</p> <p>Add clause 28 (new) and modify current clause 28, as proposed below, renumber current clause 28 and subsequent.</p> <p><u>TWENTY-EIGHTH (new).</u> Decisions and motions approved by the GA, the supreme Authority of FSC, and resolutions of the Membership as per Clause TWENTY-THREE numeral 11 of these Statutes, have the highest standing in the hierarchy of decision-making of the organisation. Once a decision, motion or resolution has been accepted by the Members, it cannot be modified by the Board of Directors or the Secretariat. The operationalization of a motion shall respect its objective and/or intent of the decision. It is the responsibility of the Board of Directors to make sure it is implemented in a reasonable timeframe. The Board shall report periodically to the members regarding the implementation, including timeline and status of implementation of the motions.</p> <p><u>Actions and/or measures, policies, guidance notes, regulations, memoranda, or similar documentation in contradiction with the spirit, objective and/or intent of the decision, motion or resolution cannot be undertaken or issued by anybody of the organisation.</u></p> <p><u>If the implementation of a decision, motion or resolution appears to be impossible or to have undesired side effects, the Board of Directors shall report this to the membership.</u></p> <p><u>TWENTY-NINETH (old 28 - modified).</u> The Board of Directors shall have the broadest legal authority granted to attorneys-in-fact to enter into all agreements, to carry out all acts and operations which by law or by these Statutes are not expressly reserved to a General Assembly, which is the supreme authority of the Organization.</p> <p>These may include faculties to manage and direct the affairs of the Organization, to implement and coordinate and supervise the implementation of the decisions, motions and resolutions approved by the Membership, to issue policies, guidance notes, regulations, memoranda, or similar documentation, in accordance with clause twenty-eight.</p> <p>Remainder of the clause unchanged....</p>	

AMENDED: 11 September 2014

Title: **Changes to the Principles and Criteria (P&C) PASSED**

Statutory Motion Number: 7

Statutory Motion (change to the P&C):

Proposed Change to P&C (v5), C6.5, Regarding Representative Sample Areas:

The Organization shall identify and protect representative sample areas of native ecosystems and/or restore them to more natural conditions. Where representative sample areas do not exist or are insufficient, the Organization shall restore a proportion of the Management Unit to more natural conditions. The size of the areas and the measures taken for their protection or restoration, including within plantations, shall be proportionate to the conservation status and value of the ecosystems at the landscape level, and the scale, intensity and risk of management activities.

Proposed Change to P&C (v5), C6.9, Regarding Conversion From Plantations to Other Uses:

The Organization shall not convert natural forest to plantations, nor natural forests or plantations on sites directly converted from natural forest to non-forest ~~any other~~ land use, except when the conversion:

- a) affects a very limited portion of the area of the Management Unit, and
- b) will produce clear, substantial, additional, secure long-term conservation benefits in the Management Unit, and
- c) does not damage or threaten High Conservation Values, nor any sites or resources necessary to maintain or enhance those High Conservation Values.

Explanation (not to be included in P&C): "Directly converted from natural forest" is intended to convey that if the immediately prior use of a plantation site was natural forest, then the plantation may not be converted to non-forest uses, whereas if the plantation site was previously in non-forest uses, then it may be converted back to non-forest uses.

Proposed Change to P&C (v5), C9.1, Regarding HCV Definitions and Intact Forest Landscapes:

HCV 2 -- Landscape-level ecosystems and mosaics. Intact forest landscapes and large landscape-level ecosystems and ecosystem mosaics that are significant at global, regional or national levels, and that contain viable populations of the great majority of the naturally occurring species in natural patterns of distribution and abundance.

Note (not to be included in P&C): FSC will define intact forest landscapes (IFLs) in the FSC Glossary. Currently, Global Forest Watch defines IFLs as: a territory within today's global extent of forest cover which contains forest and non-forest ecosystems minimally influenced by human economic activity, with an area of at least 500 km² (50,000 ha) and a minimal width of 10 km (measured as the diameter of a circle that is entirely inscribed within the boundaries of the territory).

Proposed Change to P&C (v5), C10.6, Regarding Fertilizers:

The Organization shall minimize or avoid, or aim at eliminating, the use of fertilizers. When fertilizers are used, The Organization shall demonstrate that use is equally or more ecologically and economically beneficial than use of silvicultural systems that do not require fertilizers, and prevent, mitigate, and/or repair damage to environmental values, including soils.

Title: **Reaffirming respect for the civil rights of indigenous peoples, traditional peoples and local communities in the purpose of the Organization. PASSED**

Statutory Motion Number: 96

Statutory Motion (change in the Statutes):

Title One, Fifth Clause, paragraph 2, says: “To promote viable management of forest resources and a forestry production that preserves the environment.

Modify with the following text: To promote viable management of forest resources and a forestry production that preserves the environment **and respects civil rights and the rights of indigenous peoples, traditional peoples and local communities.**

2.2 Policy Motions (11, 12, 27, 29, 36, 42, 45, 52, 58, 65, 66, 68, 71, 83, 84, 88)

AMENDED: 10 September 2014	
Title: Review of the FSC Certification System to adapt Forest Certification (FC) to small forest holders throughout the world PASSED	Policy Motion Number: 11
Policy Motion (high-level action request): FSC must define as a high priority in its strategic planning, the design and implementation of a new and innovative approach to face the current challenges that smallholders have to deal with. It is acknowledged that, besides the existing efforts as SLIMFs, there are still important issues that prevent the effective application and impact for smallholders. This new approach must contribute to increase the certification and the involvement of smallholders. This must be done through a detailed, informed and participative review of current tools and standards.	

AMENDED: 11 September 2014	
Title: Fast tracking the implementation of Motion 18 from General Assembly (GA) 2011 PASSED	Policy Motion Number: 12
Policy Motion (high-level action request): The FSC membership asks FSC to fast-track the implementation motion 18 from GA 2011 to create a chamber balanced process to consider challenges and opportunities related to restoration and conversion. This will include: a) how to address the “ownership loophole” in #6.10: b) What does an organization that has converted post 1994 need to do to be able to be certified: c) How to deal with subsistence conversion by communities. We also ask FSC to allow for a possible amendment of the P&C outside the defined 5 year revision schedule and request an electronic ballot by the membership to allow for charges in the P&C to be approved before the next GA.	

AMENDED: 10 September 2014	
Title: Expert review of the Stakeholder engagement processes for Certificate holders and production of clear guidance for certificate holders and stakeholders. PASSED	Policy Motion Number: 27
Policy Motion (high-level action request): Motion for FSC to engage an expert in stakeholder process, research and best practice, to review and advise on the full range of issues and challenges faced by certificate holders and stakeholders in the current stakeholder engagement requirements From this FSC to use this expert advice to produce a clear guidance document to certificate holders and stakeholders addressing those issues and challenges.	

AMENDED: 10 September 2014	
Title: The LOGO MOTION PASSED	Policy Motion Number: 29
<p>Policy Motion (high-level action request):</p> <p>To support FSCs strategic plan and the brand positioning process FSC® is requested to redefine the FSC® trademark standards in order to facilitate larger use of the FSC® trademark on conforming products and in off-product promotional uses. This includes both simplification of requirements for trademark use and of approval procedures, but it excludes changes to current art work that would impact trademark registration.</p>	

Title: FSC Chain of Custody (CoC): Addressing Market Confusion and Deceptive Practices PASSED	Policy Motion Number: 36
<p>Policy Motion (high-level action request):</p> <p>FSC shall engage a consultant to analyze and propose solutions to two interrelated problems within the FSC system: 1) widespread market confusion over the important distinction between certified companies (companies that have FSC CoC certification) and FSC-certified products, and 2) a deceptive practice whereby some Certificate Holders (CHs) attract would-be buyers of FSC-certified products through prominent promotional use of FSC labels and trademarks, but offer non-certified products for sale without making clear that they are not FSC-certified.</p>	

AMENDED: 10 September 2014	
Title: FSC Governance review PASSED	Policy Motion Number: 42
<p>Policy Motion (high-level action request):</p> <p>FSC shall carry out a comprehensive review of the governance of FSC. The process will be directed by a chamber balanced working group to ensure active and efficient membership engagement, and include input from a governance specialist.</p> <p>The comprehensive review shall present recommendations to the membership by the end of 2016 for consideration at the 2017 General Assembly. The review shall address the capacity for participation by the social and environmental chambers; and work to define clear roles, responsibilities, effectiveness and efficiency of:</p> <ul style="list-style-type: none"> • The FSC Network and FSC IC. • The FSC Board, Board elections, and the membership’s current voting processes. • The General Assembly, and the process, number, quality and implementation of motions brought to the GA. • Expert panels, policy committees and working groups. <p>The review will explicitly include consideration of issues raised by the membership including though not limited to:</p> <ul style="list-style-type: none"> • Board nomination criteria and election procedures. • Review of the FSC voting system including balance between individual and organizational votes. 	

- Review of chamber allocation process and membership criteria.
- Improvement in process to create Working Groups and Expert Panels.

AMENDED: 10 September 2014	
Title: Motion to improve the transparency of the FSC system PASSED	Policy Motion Number: 45
<p>Policy Motion (high-level action request):</p> <p>In order to maintain the credibility of the FSC system, the FSC needs to ensure transparency and consistency in the public reporting of assessments and audits carried out under the system. We, the membership of the FSC, therefore ask the FSC to:</p> <ul style="list-style-type: none"> ▪ Develop standard report templates that shall be used by all certification bodies, to ensure consistency in the quality and contents of assessment reports and to allow publishing of all types of CB assessment reports without disclosing confidential or sensitive information. ▪ Establish a chamber balanced working group that shall define what specific type of information that shall be considered confidential or sensitive under the FSC system and thus be excluded from any publication. <p>The working group shall pay special attention to find solutions that will not result in any high additional costs or burdens to certification bodies or certificate holders, particularly smallholders.</p>	

Title: Training and Qualification Requirements for FSC Audit Teams PASSED	Policy Motion Number: 52
<p>Policy Motion (high-level action request):</p> <p>FSC is requested to provide clear standards and procedures for the competencies, training and subsequent registration of all FSC auditors</p>	

AMENDED: 11 September 2014	
Title: Evaluation and reorientation of certification of non-timber forest products (NTFP). PASSED	Policy Motion Number: 58
<p>Policy Motion (high-level action request):</p> <p>FSC must thoroughly review the different mechanisms, tools and incentives of market of certification applicable to the segment of NTFP, with the aim of identifying the reasons why NTFP certification has had so little success, to propose solutions, evaluate and implement them, and report progress in the next General Assembly.</p>	

AMENDED: 11 September 2014	
Title: High Conservation Value 2 (HCV2) – Intact forest landscapes (IFL) protection. PASSED	Policy Motion Number: 65

Policy Motion (high-level action request):

To ensure the implementation of Principle 9 and the protection of Intact Forest Landscapes - the world's remaining large undisturbed forest areas contained in HCV2 - across FSC certified operations, FSC will direct Standard Development Groups (SDGs) and Certification Bodies (CBs), where no SDG exists, to develop, modify, or strengthen (according to standards revision processes) indicators within National Standards and CB standards that aim to protect the vast majorities of IFLs. Taking into account scale, intensity and risk as well as respecting the activities, customary and legal rights of traditional forest communities, this process will:

- 1) Be based on best available, independent, peer-reviewed science and other information;
- 2) Take into consideration IFL degradation in FSC FMUs since 2000;
- 3) Respect Free Prior and Informed Consent of indigenous Peoples, traditional peoples and forest dependent communities in affected FMUs;
- 4) Within IFL **cores** ensure that Certificate Holders implement protection measures (for example, set-asides, legal protected areas, conservation reserves, deferrals, community reserves, indigenous protected areas etc.) ensuring management for intactness, in areas within their control;
- 5) Require a comparative assessment of the viability and effectiveness of alternative land use options, in maintaining and enhancing intactness of IFLs including in areas outside FSC FMUs (landscape level);
- 6) In limited circumstances, allow limited development of IFL **cores** if such operations produce clear, substantial, additional, long-term conservation and social benefits;
- 7) Where applicable, address the need to reduce timber harvesting rates to reflect any reduction in the timber volume due to removal of IFL areas from harvesting;
- 8) Prioritize development of low-impact/small scale forest management, non-timber forest products in unallocated IFL areas, and provide first access to local communities an taking into consideration section iii;
- 9) Promote alternative models for forest management/conservation (for example, ecosystem services etc.) within the IFLs,

If by the end of 2016 a relevant standard has not been implemented, a default indicator will apply that mandates the full protection of a core area of each IFL within the management unit. *For this purpose, the core area of the IFL will be defined as an area of forest comprising at least 80% of the intact forest landscape falling within the FMU*

AMENDED: 10 September 2014

Title: **Auditor Rotation PASSED**

Policy Motion Number: 66

Policy Motion (high-level action request):

For forest management FSC Certification Bodies shall rotate their auditors so that no person serves as a member of the audit team for more than 3 consecutive audits of the same certificate holder. Exemptions shall be possible for regions where there are very few certified operations.

AMENDED: 10 September 2014	
Title: Improvement of transparency in the FSC process to create Working Groups and Expert Panels. PASSED	Policy Motion Number: 68
<p>Policy Motion (high-level action request):</p> <p>FSC is requested to develop clear and documented procedures for the formation of Steering Committees, Working Groups, Expert Panels and other ad hoc Committees (hereafter referred to as “groups”), importantly including information regarding the selection of group members and their terms of reference as applicable to specific working groups that are formed, in order to improve the transparency of these key components of the FSC system</p>	

AMENDED: 10 September 2014	
Title: FSC Financial Transparency and Reporting. PASSED	Policy Motion Number: 71
<p>Policy Motion (high-level action request):</p> <p>FSC International shall, at minimum, provide the FSC membership with the following standard financial documents annually, in a format and manner which is easily accessible by the Membership:</p> <ul style="list-style-type: none"> • Statement of Financial Position • Statement of Activities • Statement of Functional Expenses • Statement of Cash Flows • Notes to Financial Statements <p>FSC shall also provide the results of annual external audits to Membership. These requirements (statements and audit results) shall apply to all FSC companies (example: FSC IC, FSC AC, FSC GD)</p>	

AMENDED: 10 September 2014	
Title: Development of a Forest Certification Standard adapted to the realities of Indigenous Peoples and Traditional Forest Communities PASSED	Policy Motion Number: 83
<p>Policy Motion (high-level action request):</p> <p>The FSC shall define as a high priority in its strategic planning the development and implementation a new approach to certification addressing the current challenges faced by indigenous groups and traditional forest communities. This new approach shall improve FSC’s impact on: i.) conservation of tropical forests and reduction of deforestation and degradation; and ii.) contribute to the reduction of poverty and other social benefits for populations that depend on forest resources for their survival.</p>	

AMENDED: 10 September 2014	
Title: Development of an FSC Global Strategy PASSED	Policy Motion Number: 84

Policy Motion (high-level action request):

The FSC shall develop a 2015-20 FSC Global Strategic Plan to be applied worldwide with the intent to strategically guide decision-making, investment, and work planning. This process shall have two phases:

- A 'direction setting' phase led by the Board that defines the goals and objectives of the organization.
- An 'enabling' phase led by the Secretariat that enables the fulfilment of the strategic direction.

Members shall be effectively consulted and involved throughout the process.

The **Direction** phase shall be based on a six-year cycle to align planning with General Assembly cycles, with a review process in the third year and a full revision process in the sixth year. A draft version of the plan shall be consulted with members, Network Partners, staff and certificate holders prior to being finalized. The FSC Board of Directors will be responsible for the final approval of this plan, which will be completed by April 2015 and include the following structural elements as deemed appropriate by the Board:

- FSC's core purpose
- FSC's core values
- Core goals and objectives
- A small number of outcomes-based Critical Result Areas, driven by specific objectives that are quantitative whenever possible.

1. The content of the plan shall address core issues raised by members, Network Partners and staff to-date, including the Purposes of the 2014 General Assembly motions. These issues, include, but are not limited to:
 - a) Positioning FSC for future trends and 'grand challenges', as informed by a situational analysis.
 - b) Full incorporation of Network Partners in FSC's global operations, including financing and development of the Network.
 - c) Capacity building of the Board, Secretariat, Network Partners, and stakeholders, particularly in the Global South.
 - d) Streamlining standards, both individually and in aggregate, by focusing on outcomes to increase the stability of the FSC system.
 - e) Enhancing FSC's credibility with more effective systems for reducing inaccurate claims and increasing auditor uniformity.
 - f) Ensuring that strategy and actions on tropical forests will be considered at the highest level of priority within the development of the Strategic Plan.
 - g) Expand FSC's relevance to communities, indigenous people, and smallholders.
 - h) Establishing marketing as an organization-wide 'ethos' that is incorporated into all activities and decision-making.
 - i) Demonstrating, measuring and effectively communicating FSC's environmental, social and economic impact.
2. An **Enabling** phase shall follow the Direction phase and:
 - a) Result in a plan for changes to the structure and function of the Secretariat, with Network Partners, that enable operational excellence and fulfilment of the strategic direction.
 - b) Result in the improved use of technologies to drive efficiency, information flow, and credibility.
 - c) Directly connect the objectives of the Strategic Plan to regional and national strategies, the

- work plans of all FSC organizations, the key performance indicators of individual staff.
- d) Incorporate best practices in all process management.
 - e) Enable the monitoring and evaluation of all strategic objectives.

Title: **Market strategies for small producers** **PASSED**

Policy Motion Number: 88

Policy Motion (high-level action request):

Generation of a market strategy to prevent small producers and communities from continuing to lose certificates, as has been happening in Chile, Brazil and Bolivia. The idea is for FSC to be focused on improving their market options by obtaining the brand and not just to support them technically so that they can be certified.

Part 3: Final Texts of Motions Rejected

Total motions rejected: 13

Statutory 74, 95

Policy 3, 14, 16, 30, 31, 35, 46, 47, 70, 72, 77

3.1 Statutory Motions (74, 95)

AMENDED: 10 September 2014	
Title: Limitation on Motions to the General Assembly (GA) REJECTED	Statutory Motion Number: 74
<p>Statutory Motion (high-level action request):</p> <p>Twenty-third, insert new paragraph 5. <u>“Each chamber shall be entitled to submit, through its members in good standing, a maximum of ten motions for voting at a General Assembly. These ten motions should result from a previous discussion, prioritisation and self-selection process, held within each chamber, of all the motions proposed by the members of the corresponding chamber to the other members of such chamber. “</u></p> <p>The FSC shall provide sufficient support to the chambers in order for the discussion and prioritisation process to be carried out prior to submission of motions to the General Assembly, to ensure the process is fair, and equitable for all south and north sub-chamber organisational and individual members.</p>	

Title: Reaffirming the functions of the General Assembly to be informed of financial plans and of the Board of Directors to submit them for its consideration. REJECTED	Statutory Motion Number: 95
<p>Statutory Motion (change in the Statutes):</p> <p>Title Three, Twenty-First Clause, among other issues to be considered by the General Assembly, states: “2. To review the financial statements of the Organization for the previous period and approve as appropriate.”</p> <p>Modify to: “2. To review the financial statements of the Organization for the previous period <u>and the financial plans for the next three years</u> and approve as appropriate.”</p> <p>Title Four, Twenty-Ninth Clause, among the functions of the President of the Board of Directors, states: “2. To submit for the consideration of the General Assembly the financial statements of the Organization for the previous period.”</p> <p>Modify to: “2. To submit for the consideration of the General Assembly the <u>annual</u> financial statements of the Organization for the previous period, <u>as well as the financial plans for the next three years.</u>”</p>	

3.2 Policy Motions (3, 14, 16, 30, 31, 35, 46, 47, 70, 72, 77)

AMENDED 11th September 2014 (Change from Statutory to Policy only)	
Title: Indigenous Peoples, Non-recognized Tribes, Forest Dependent Peoples, and Local Community Definition(s) in FSC normative documents and processes. REJECTED	Policy Motion Number: 3
<p>Statutory Motion (change to the Statutes):</p> <p>The FSC membership requests the FSC International Centre to adopt the following definition(s) for Indigenous Peoples, Non-recognized Tribes, Forest Dependent Peoples, and local communities for all FSC statutes, policy, standards, and processes. Where applicable, Indigenous rights and related requirements in FSC processes will be circumscribed to such defined groups. Local community's access to FSC processes will also be circumscribed to these definitions as necessary in P2, P3, and P4, as well as other principles and criteria as mentioned in the P&C V5.0. The PIPC will give ongoing advice to the FSC A.C. BoD on matters of interpretation as needed.</p> <p>Definition(s) Indigenous Peoples, Non-recognized Tribes, and Forest Dependent Peoples, Local Communities:</p> <p><u>International Labor Organization</u></p> <p>The International Labor Organization (Convention No. 169, concerning the rights of Indigenous and Tribal Peoples, 1989) in Article 1 contains a statement of coverage rather than a definition, indicating that the Convention applies to:</p> <p>a) tribal peoples in independent countries whose social, cultural and economic conditions distinguish them from other sections of the national community and whose status is regulated wholly or partially by their own customs or traditions or by special laws or regulations;</p> <p>b) peoples in independent countries who are regarded as indigenous on account of their descent from the populations that inhabited the country, or a geographical region where the country belongs, at the time of conquest or colonization or the establishment of present state boundaries and who irrespective of their legal status, retain some or all of their own social, economic, cultural and political institutions.</p> <p><u>The World Bank</u></p> <p>A description of Indigenous Peoples given by the World Bank (operational directive 4.20, 1991) reads as follows:</p> <p>Indigenous Peoples can be identified in particular geographical areas by the presence in varying degrees of the following characteristics:</p> <p>a) close attachment to ancestral territories and to the natural resources in these areas;</p> <p>b) self-identification and identification by others as members of a distinct cultural group;</p> <p>c) an indigenous language, often different from the national language;</p> <p>d) presence of customary social and political institutions;</p> <p>and e) primarily subsistence-oriented production.</p> <p>Another recent publication by the UNPFII includes the following passage with regard to the term "indigenous", Considering the diversity of indigenous peoples, an official definition of "indigenous" has not been adopted by any UN-system body. Instead the system has developed a modern understanding of this term based on the following:</p>	

- Self-identification as indigenous peoples at the individual level and accepted by the community as their member.
- Historical continuity with pre-colonial and/or pre-settler societies
- Strong link to territories and surrounding natural resources
- Distinct social, economic or political systems
- Distinct language, culture and beliefs
- Form non-dominant groups of society
- Resolve to maintain and reproduce their ancestral environments and systems as distinctive peoples and communities.

The Forest People’s Program uses the following definitions in a recent publication:

http://www.forestpeoples.org/sites/fpp/files/publication/2012/05/forest-peoples-numbers-across-world-final_0.pdf

Who are indigenous peoples?

• In the most general sense, indigenous peoples can be understood as descendants of those who inhabited a country or a geographical region at the time when peoples of different cultures or ethnic origins arrived. Although there is no universal definition of ‘indigenous peoples’, the factors which international organizations and legal experts have considered relevant to understanding the concept of ‘indigenous’ include:

- priority in time with respect the occupation and use of a specific territory;
- the voluntary perpetuation of cultural distinctiveness, which may include aspects of language, social organization, religion and spiritual values, modes of production, laws and institutions;
- self-identification, as well as recognition by other groups, or by State authorities, as a distinct collectivity and;
- an experience of subjugation, exclusion or discrimination, whether or not these conditions persist.

Who are forest peoples?

• Forest peoples may be described as peoples who live in and have customary rights to their forests, and have developed ways of life and traditional knowledge that are attuned to their forest environments. Forest peoples depend primarily and directly on the forest both for subsistence and trade in the form of fishing, hunting, shifting agriculture, the gathering of wild forest products and other activities.

Local communities: to be drafted.

AMENDED: 10 September 2014	
<p>Title: Improving the quality and credibility of forest management certification processes.</p> <p>REJECTED</p>	<p>Policy Motion Number: 14</p>
<p>To maintain and improve FSC credibility a sub-chamber balanced group should be established to review and analyze, including the following:</p> <ul style="list-style-type: none"> - The relations between certifying entities and forest companies that request FSC certification or the renewal of their certificates (changes in the systems for contracting, payments, and 	

- times).
- Review of the calling system and for holding public consultations in order to improve stakeholders' participation in them, including an information system for these stakeholders so as to know if their opinions were taken into account.
 - Contents, form and times for public summaries of pre-audit and auditing reports
 - Role of the National Offices related in the certification processes
 - Proposal of a clear, standardized system for the qualification and capabilities of auditors, including a training system, especially social auditors who must have experience and knowledge about FSC certification. The auditors should be listed in the registry kept by the FSC and the ASI.
 - Review of the ASI systems for improving its efficiency and capability. This includes the capabilities of ASI auditors.
 - Follow up and make propositions to be implemented based on the Motion 20 Report (AG 2011) recommendations.
 - Other aspects that emerge during the course of the group's work that can improve and strengthen the credibility of the certification processes.

Title: Supporting Responsible Urban Forestry by Recognizing Salvaged Urban Wood as Reclaimed & Creation of FSC Urban Forestry Standards. REJECTED	Policy Motion Number: 16
Policy Motion: That FSC resolves to support Responsible Urban Forestry Management through (1) review and amendment of Annex 1 of FSC-STD-40-007 V1-0 <i>Sourcing Reclaimed Material for Use in FSC Product Groups or FSC-Certified Projects</i> to clarify recognition of the recovery of urban trees and parts of urban trees as post-consumer wood sources for reclaimed wood material; (2) designation of Urban Forests as a new forest type for inclusion under FSC Forest Management Standards. Items that may need to be developed include a definition of urban forest, urban trees and/or urban wood as well as guidance and policy documents for urban forestry standards.	

AMENDED: 10 September 2014	
Title: Impacts assessments of local social development, environmental conservation and economic viability in FSC certified FMUs. REJECTED	Policy Motion Number: 30
Policy Motion (high-level action request): The FSC General Assembly requests FSC- International to facilitate evaluation of contribution to local social development, environmental conservation, economic viability in FSC certified FMUs on a regular basis. Facilitation will entail priority setting for research; promoting harmonization of research methods; facilitating collaboration and knowledge exchange between various research groups and the network; analysing results for lessons learned; and actively disseminate results to improve the FSC system.	

AMENDED: 10 September 2014	
Title: Maintain or increase the quality of the forest management	Policy Motion Number: 31

operations through time, between audits. REJECTED	
<p>Policy Motion (high-level action request):</p> <p>FSC Certification Bodies and ASI shall carry out a proportion of their forest management audits as ‘Special Focus Audits’, where the field visit is carried within 48 hours of notification of the audit. The proportion of ‘Special Focus Audits’ shall be determined by the Working Group reviewing standard 20-001, including in relation to the level of risk in the region (as determined by FSC). The Certification Body will define which operations and when they will be targeted for this requirement according to the forest management performance of the Organisation, and stakeholder concerns. Every certificate holder shall have at least one ‘Special Focus Audit’ during the duration of their certificate (5 years), with the exception of those who have consistently performed well (no major non-compliances).</p>	

AMENDED (Seconders only): 10 September 2014	
<p>Title: Interpretation of the International Labor Organization (ILO) conventions even in countries that have not ratified them.</p> <p>REJECTED</p>	<p>Policy Motion Number: 35</p>
<p>Policy Motion (high-level action request):</p> <p>The action requested is that Certifying Bodies, Standard Development Groups or policies and decision makers within the global FSC shall interpret compliance with the rights and guarantees for workers and indigenous peoples as stipulated in the applicable ILO conventions only in accordance with the jurisprudence of the ILO. In the case of indigenous peoples interpretations should also comply with the UN Declaration on the Rights of Indigenous Peoples (UNDRIP). And for workers the interpretation should comply with the Declaration on fundamental principles and rights at work.</p>	

AMENDED: 11 September 2014	
<p>Title: Best Management Practices for Standards Development.</p> <p>REJECTED</p>	<p>Policy Motion Number: 46</p>
<p>Policy Motion (high-level action request):</p> <p>FSC shall develop and follow a set of procedures for development, revision, implementation, and management of all FSC normative documents (policies, standards, and procedures) and initiatives associated with certification requirements. These procedures will also apply to initiatives associated with certification requirements. These procedures will address the following:</p> <p>Alignment with FSC Strategy:</p> <p>Any proposed FSC initiative associated with the normative system shall include a statement of alignment with the FSC Global Strategy or approved General Assembly motions, and the initiative objectives shall be clearly and transparently articulated prior to commencement of work.</p> <p>Determination of Impact and Feasibility:</p> <p>The following are to be implemented for all initiatives associated with the normative system. The outcome of the following procedures will be approved by an Executive Officer of FSC prior to launch of the initiative:</p> <ul style="list-style-type: none"> ○ An impact analysis conducted on the cost/benefit/return on investment, environmental and social performance outcomes, and risk/opportunity of the initiative. The analysis shall 	

<p>consider impacts to the three chambers and to certificate holders;</p> <ul style="list-style-type: none"> ○ Research on palatability of the initiative to stakeholders, members, and certificate holders based on methods that are objective and chamber balanced; ○ Clearly articulated Terms of Reference for any working and technical groups with process timelines and an actionable work-plan; ○ Monitoring and evaluation to ensure the initiative is on track to meet its intended goals; ○ Evaluate compatibility with a FSC system-wide policy on risk management; ○ Evaluate feasibility via a field test or proof test new procedures with certificate holders and affected stakeholders. <p>Communication and engagement:</p> <ul style="list-style-type: none"> ○ A communication plan shall be developed and implemented for each new initiative to ensure effective chamber-balanced stakeholder engagement by targeting outreach to members and certificate holders prior to commencement, and including all of the factors listed above. ○ Prior to completion and final decision-making on any initiative, FSC will test its impacts and implications with members and certificate holders.

AMENDED: 10 September 2014	
<p>Title: Wood Legality: Acceptance of wood with “PEFC certified” or “PEFC Controlled Sources claim” as proof of low risk in wood legality in FSC. REJECTED</p>	<p>Policy Motion Number: 47</p>
<p>Policy Motion (high-level action request):</p> <p>FSC should analyse the standards by other certification schemes to assess whether their criteria on legality are comparable to the criteria of category 1 in FSC Controlled Wood and evaluate whether material certified by these schemes could be accepted as low risk for legality under Controlled Wood in National Risk Assessments and unassessed areas.</p>	

AMENDED: 10 September 2014	
<p>Title: Align implementation of the credit system with intended use. REJECTED</p>	<p>Policy Motion Number: 70</p>
<p>Policy Motion:</p> <p>Increase FSC credibility by prohibiting distributors of wood products (including lumber, panel products, flooring, decking, siding, etc. but NOT including logs, pulp, paper, sawmill residuals, etc.) from using the credit system, and requiring them to instead use the transfer system.</p> <p>“Distributor” in this context is intended to cover companies who only act as traders or distributors as opposed to examples where companies fabricating AND distribute product.</p>	

AMENDED: 10 September 2014	
<p>Title: Strengthening social aspects in FSC. REJECTED</p>	<p>Policy Motion Number: 72</p>

Policy Motion:

FSC should define as a high priority in its strategic plan the design and implementation of a social approach for the whole system. To that effect, the necessary human and economic resources shall be guaranteed in order to implement its social management, by creating:

- A Social Strategy Committee
- A Social Unit in the FSC International Secretariat

AMENDED: 10 September 2014

Title: **Motion to ensure high standards of animal management within FSC Policy. REJECTED**

Policy Motion Number: 77

Policy Motion 77 (high-level action request):

1. The organization/certificate holder shall commit to minimize negative impacts on vertebrate animals naturally occurring within a Management Unit (be it plantation or natural forest type), including those that do, or may, cause damage to tree species.
2. The organization/certificate holder shall recognize that lethal control should only be considered when all other management alternatives have been exhausted, and ensure this through the demonstrable implementation of a decision-making procedure to assess non-lethal management options, such as deterrents or the provision of alternative habitats, before resorting to lethal control.

Note: Where control in this context is defined as: “the regulation or management of a species”. This would not apply to legal non-commercial and subsistence hunting.

3. If non-lethal management options are not possible within the Management Unit, lethal control measures used must be selected with a view to minimizing animal suffering to vertebrates.